

UPU Assessment Characterization and Rating scheme

Subsection-level characterizations

Heat map	Characterization Scale	Rule set	Point thresholds (0 to 10 scale)
FI	Fully Implemented (FI)	<ul style="list-style-type: none"> • One or more direct artifacts are present and judged to be adequate, • at least one indirect artifact and/or affirmation exists to confirm the implementation, and • no weaknesses are noted. 	9 to 10
LI	Largely Implemented (LI)	<ul style="list-style-type: none"> • One or more direct artifacts are present and judged to be adequate, • at least one indirect artifact and/or affirmation exists to confirm the implementation, and • one or more weaknesses are noted. 	7 to 8
PI	Partially Implemented (PI)	<ul style="list-style-type: none"> • Direct artifacts absent or judged to be inadequate • one or more indirect artifacts or affirmations suggest that some aspects of the practice are implemented, and • one or more weaknesses noted. OR <ul style="list-style-type: none"> • One or more direct artifacts are present and judged to be adequate, • no other evidence supports (indirect artifacts, affirmations) supports the direct artifact(s), and • one or more weaknesses are noted. 	4 to 6
NI	Not Implemented (NI)	<ul style="list-style-type: none"> • Direct artifacts are absent or judged to be inadequate, • no other evidence (indirect artifacts, affirmations) supports the practice implementation, and • one or more weaknesses are noted. 	0 to 3
NA	Not Applicable (NA)	<ul style="list-style-type: none"> • The standard section does not apply (e.g. A6.2 only applies to organizations that use contractors for mail handling/transport operations or other sensitive functions) 	?

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Goal-level characterizations

Heat map	Name	Rule set
S	Satisfied (S)	<ul style="list-style-type: none">• All associated practices are characterized as either Fully Implemented (FI) or Largely Implemented (LI) or Not Applicable (NA), with at least one practice characterized as FI or LIAND• The aggregation of weaknesses does not have a significant negative impact on goal achievement
NS	Not Satisfied (NS)	<ul style="list-style-type: none">• All other cases
NA	Not Applicable (NA)	<ul style="list-style-type: none">• All practices are characterized as Not Applicable (NA)