

Dear Sir/Madam,

At its 2020.2 session, the Postal Operations Council (POC), in accordance with article 113.1.13 of the General Regulations as well as article 17 of the POC Rules of Procedure, examined and approved certain proposed amendments to the Convention Regulations, which are set out in Annex 1.

The following table shows the numbers and titles of the articles concerned, as further detailed in Annex 1 (articles presented in numerical order). The amendments will enter into force on the dates indicated below.

Convention Regulations and Final Protocol

Volume I Rules in Common

<i>Article</i>	<i>Subject</i>	<i>Entry into force</i>
08-002	Implementing provisions for providing electronic advance data	1 April 2021
20-001	Items subject to customs control	1 July 2021
34-002	Settlement of accounts: general rules	1 April 2021

Volume II Letter Post Regulations

<i>Article</i>	<i>Subject</i>	<i>Entry into force</i>
18-104	International business reply service (IBRS)	1 April 2021
27-103	Transit charges	1 January 2022
27-106	Calculation and accounting for charges for items in transit à découvert and missent items	1 January 2022
30-109	Quality of service–linked terminal dues remuneration between designated operators of countries in the target system	1 April 2021

<i>Article</i>	<i>Subject</i>	<i>Entry into force</i>
30-111	Quality of service–linked terminal dues remuneration applicable to mail flows to, from and between designated operators of countries in the transition system	1 April 2021
30-120	Self-declaration of bulky (E) and small packet (E) letter-post items	1 April 2021
33-101	Formula for calculating the basic rate and calculation of air conveyance dues for closed mails	1 April 2021

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Parcel Post Regulations

<i>Article</i>	<i>Subject</i>	<i>Entry into force</i>
27-201	Transit land rates	1 January 2022
27-203	Sea rates	1 January 2022
27-208	Rates and dues credited to other designated operators by the designated operator of origin of the mail	1 January 2022

Yours faithfully,

Ricardo Guilherme Filho
Director of Legal Affairs

Convention Regulations

Volume I

Rules in common

Article 08-002

Implementing provisions for providing electronic advance data

Paragraph 1 was amended as follows:

1 Items containing goods may be subject to specific import customs- and security-based requirements for providing electronic advance data as referred to in article 8.1 of the Convention and further specified in the respective provisions of the Regulations. All member countries and their designated operators shall have the option of informing other member countries and their designated operators of their specific security requirements (in accordance with the aforementioned provisions) via the relevant compendium. Letters, postcards, printed papers (other than books) or letter-post items containing correspondence or items for the blind, which are not subject to customs duties, shall be exempted from these requirements.

Article 20-001

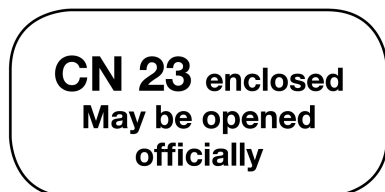
Items subject to customs control

Paragraphs 2.1, 2.4 and 2.5 were amended as follows:

- 2.1 Items to be submitted to customs control shall bear on the ~~front~~ outside a CN 22 customs declaration, or be provided with a tie-on label in the same form. ~~The CN 22 customs declaration shall be affixed on the address side, in so far as possible in the top left hand corner, beneath the sender's name and address, which must appear on the item.~~
- 2.4 With the authorization of the designated operator of origin, users may use envelopes or wrapping bearing, in the place provided for affixing the CN 22 or CN 23 customs declaration, a pre-printed facsimile of that declaration. Moreover, users may make the CN 22 or CN 23 customs declaration with the authorization of the designated operator of origin. The dimensions, format and data elements ~~must~~ shall be the same as those of the CN 22 or CN 23 customs declaration.
- 2.5 If the value of the contents declared by the sender exceeds 300 SDR, or if the sender prefers, the items shall also be accompanied by the prescribed number of separate CN 23 customs declarations. One of these declarations ~~must~~ shall

be affixed to the item. If the declaration is not directly visible on the ~~front out-~~
side of the item, the detachable part of the CN 22 customs declaration shall
be affixed to the ~~front outside~~ of the item. It shall also be possible to replace
the detachable part of the CN 22 customs declaration with a gummed or self-
adhesive white or green label inscribed as follows:

Inscription in black



(Size 50 x 25 mm, colour white or green)

Article 34-002

Settlement of accounts: general rules

Paragraph 3bis was amended as follows:

3bis For 2020, where two sets of terminal dues rates might apply, the creditor des-
ignated operator ~~may choose to create one set of forms for the settlement of accounts~~
~~for the period from January to June 2020, and another set for the settlement of~~
~~accounts for the period from July to December 2020; alternatively, it may calculate a~~
~~compound terminal dues rate for the settlement of accounts concerning the whole~~
~~year of 2020.~~ invoking article 28bis.6bis shall create one modified CN 61 form for the
settlement of accounts, regardless of the creditor designated operator, reflecting the
separate rates for the periods from January to June 2020, and from July to December
2020. Only for the purpose of the deadlines for the settlement of accounts on the
basis of the modified CN 61 forms in the year 2020 shall the designated operator
invoking article 28bis.6bis be considered as the creditor designated operator.

Volume II
Letter Post Regulations

Article 18-104

International business reply service (IBRS)

Paragraph 3.6 was amended and new paragraph 3.7 added as follows:

- 3.6 For IBRS items containing documents, designated operators may apply a single barcode identifier conforming to UPU Technical Standard S10 to enable the provision of cross-border customs pre-advice or other tracking services. For IBRS items containing goods, designated operators shall apply a single barcode identifier conforming to UPU Technical Standard S10 to enable the provision of cross-border customs pre-advice data in compliance with UPU EDI Messaging Standard M33 (ITMATT V1). However, the presence of such an identifier shall not imply the provision of a delivery confirmation service. The identifier ~~should~~ shall appear on the front of the item and ~~should~~ shall not obscure the other service markings, indicia or address information.
- 3.7 For IBRS items containing goods, in accordance with article 08-002, designated operators shall capture and exchange electronic advance data. The data shall replicate the information documented on the appropriate UPU customs declaration form and shall be compliant with UPU Technical EDI Messaging Standard M33 (ITMATT V1).

Article 27-103

Transit charges

Paragraph 1 was amended as follows:

- 1 The transit charges to be borne by the designated operator of origin of the mails shall be calculated on the basis of the following components:
- 1.1 A rate for handling transit dispatches, which shall be ~~0.544~~ 0.571 SDR per kilogramme for ~~2018~~ 2022, ~~0.546~~ 0.585 SDR per kilogramme for ~~2019~~ 2023, ~~0.552~~ 0.599 SDR per kilogramme for ~~2020~~ 2024 and ~~0.557~~ 0.613 SDR per kilogramme for ~~2021~~ 2025.
- 1.2 A rate based on distance, which shall be:
- 1.2.1 for air transport: the basic air conveyance rate set by the Postal Operations Council using the formula in article 33-101;

- 1.2.2 for land transport:
- 1.2.2.1 per kilogramme and per kilometre up to 1,000 kilometres: ~~0.326~~
0.426 thousandths of an SDR for the year ~~2018~~ 2022; ~~0.353~~ 0.437
thousandths of an SDR for the year ~~2019~~ 2023; ~~0.384~~ 0.447 thousandths
of an SDR for the year ~~2020~~ 2024 and ~~0.416~~ 0.458 thousandths of an
SDR for the year ~~2024~~ 2025;
- 1.2.2.2 per kilogramme and per supplementary kilometre up to 3,000 kilometres:
~~0.217~~ 0.182 thousandths of an SDR for the year ~~2018~~ 2022; ~~0.203~~
0.187 thousandths of an SDR for the year ~~2019~~ 2023; ~~0.190~~ 0.191
thousandths of an SDR for the year ~~2020~~ 2024 and ~~0.178~~ 0.196
thousandths of an SDR for the year ~~2024~~ 2025;
- 1.2.2.2bis per kilogramme and per supplementary kilometre up to 5,000 kilometres:
~~0.195~~ 0.159 thousandths of an SDR for the year ~~2018~~ 2022; ~~0.180~~
0.163 thousandths of an SDR for the year ~~2019~~ 2023; 0.167 thousandths
of an SDR for the year ~~2020~~ 2024 and ~~0.155~~ 0.171 thousandths of an
SDR for the year ~~2024~~ 2025;
- 1.2.2.3 per kilogramme and per supplementary kilometre: ~~0.137~~ 0.106 thou-
sandths of an SDR for the year ~~2018~~ 2022; ~~0.124~~ 0.108 thousandths of
an SDR for the year ~~2019~~ 2023; ~~0.113~~ 0.111 thousandths of an SDR for
the year ~~2020~~ 2024 and ~~0.103~~ 0.113 thousandths of an SDR for the year
~~2024~~ 2025;
- 1.2.2.4 the rate based on distance being calculated per 100-kilometre distance
step, based on the mid-value in each step;
- 1.2.3 for sea transport:
- 1.2.3.1 per kilogramme and per nautical mile (1.852 km) up to 1,000 nautical
miles: ~~0.123~~ 0.204 thousandths of an SDR for the year ~~2018~~ 2022; ~~0.145~~
0.209 thousandths of an SDR for the year ~~2019~~ 2023; ~~0.170~~ 0.214 thou-
sandths of an SDR for the year ~~2020~~ 2024 and ~~0.199~~ 0.219 thousandths
of an SDR for the year ~~2024~~ 2025;
- 1.2.3.2 per kilogramme and per supplementary nautical mile up to 2,000 nautical
miles: ~~0.070~~ 0.113 thousandths of an SDR for the year ~~2018~~ 2022; ~~0.081~~
0.115 thousandths of an SDR for the year ~~2019~~ 2023; ~~0.095~~ 0.118 thou-
sandths of an SDR for the year ~~2020~~ 2024 and ~~0.110~~ 0.121 thousandths
of an SDR for the year ~~2024~~ 2025;
- 1.2.3.3 per kilogramme and per supplementary nautical mile up to 4,000 nautical
miles: ~~0.055~~ 0.073 thousandths of an SDR for the year ~~2018~~ 2022; ~~0.060~~
0.075 thousandths of an SDR for the year ~~2019~~ 2023; ~~0.065~~ 0.076 thou-
sandths of an SDR for the year ~~2020~~ 2024 and ~~0.074~~ 0.078 thousandths
of an SDR for the year ~~2024~~ 2025;

- 1.2.3.4 per kilogramme and per supplementary nautical mile up to 10,000 nautical miles: ~~0.023~~ 0.008 thousandths of an SDR for the year ~~2018~~ 2022; ~~0.046~~ 0.008 thousandths of an SDR for the year ~~2019~~ 2023; ~~0.014~~ 0.009 thousandths of an SDR for the year ~~2020~~ 2024 and ~~0.008~~ 0.009 thousandths of an SDR for the year ~~2024~~ 2025;
- 1.2.3.5 per kilogramme and per supplementary nautical mile: ~~0.014 thousandths of an SDR for the year 2018; 0.009 thousandths of an SDR for the year 2019; 0.005 thousandths of an SDR for the year 2020 and 0.003 thousandths of an SDR for the year 2024~~ years 2022 to 2025;
- 1.2.3.6 the rate based on distance being calculated per 100-nautical-mile distance step, based on the mid-value in each step.

Article 27-106

Calculation and accounting for charges for items in transit à découvert and missent items

Paragraph 1 was amended as follows:

- 1 to (No change.)
- 1.2.1
- 1.3 Calculation of charges
- 1.3.1 Charges corresponding to handling of items forwarded in transit à découvert
- 1.3.1.1 The charge for handling of items forwarded in transit à découvert shall be ~~4.000~~ 1.055 SDR per kilogramme for ~~2018~~ 2022, ~~4.040~~ 1.081 SDR per kilogramme for ~~2019~~ 2023, ~~4.020~~ 1.107 SDR per kilogramme for ~~2020~~ 2024 and ~~4.030~~ 1.134 SDR per kilogramme for ~~2024~~ 2025.
- 1.4 (No change.)
- 1.5 Charges applicable to missent items
- 1.5.1 Each designated operator which forwards missent items shall have the right to collect from the designated operator of origin transit charges for the costs incurred for the handling and transportation as well as terminal dues increments to be paid to the designated operator of destination. The intermediate designated operator shall be authorized to claim from the dispatching designated operator the relevant charges calculated in accordance with paragraphs 1.2 to 1.4 plus additionally a charge of ~~2~~ 2.202 SDR/kg.
- 1.6 and (No change.)
- 1.7

Article 30-109

Quality of service-linked terminal dues remuneration between designated operators of countries in the target system

The title and text of the article were amended as follows:

Article 30-109

Quality of service-linked terminal dues remuneration ~~between designated operators of countries in the target system~~

1 Terminal dues remuneration ~~between designated operators of countries in the target system~~ shall be based on quality of service performance of the designated operators of the country of destination.

2 (No change.)

3 Notwithstanding the provisions set out under 1 and 2, designated operators ~~of countries in the target system as of 2010~~ which have a total annual inward mail volume below 100 tonnes and which do not participate in a UPU-agreed system for quality of service measurement of inward flows shall receive from, and pay to, all the other designated operators 100% of the base terminal dues rate. This provision shall not apply to designated operators in the target system prior to 2010.

4 and 5 (No change.)

6 ~~Subject to the minimum rates provided in article 29.10 to 11 of the Convention,~~ Designated operators shall be subject to a penalty if the quality targets fixed have not been met. This penalty shall be 1/3% of the terminal dues remuneration for each percent under the performance target. The penalty shall in no case exceed 10%. Owing to the 5% incentive for participation, the maximum penalty shall not lead to remuneration lower than 95% of the base terminal dues rates.

6bis Notwithstanding the provisions set out under 6, where terminal dues rates are determined in accordance with articles 29 and 30 of the Convention (i.e. they are not self-declared pursuant to article 28bis of the Convention), the application of penalties in cases where the quality targets have not been met shall not result in remuneration that is lower than the minimum rates provided in articles 29.10, 29.11, 30.3, 30.4bis and 30.5 of the Convention.

7 and 8 (No change.)

~~9 Designated operators of countries joining the target system in 2014 and in 2016 shall apply the provisions of article 28.5 of the Convention and of paragraphs 1, 2, 5 and 6 above no later than the third year after joining the target system. During the first two years after joining the target system, they may benefit from the following transitional arrangements:~~

- 9.1 ~~They may decide not to apply the provisions of article 28.5 of the Convention and of paragraphs 1, 2, 5 and 6 above, meaning that they may decide not to participate in a quality of service measurement system. This decision shall have no effect on their payable and receivable terminal dues rates.~~
- 9.2 ~~They may decide to participate in a UPU-agreed quality measurement system without effect on their payable and receivable terminal dues rates.~~
- 9.3 ~~They may decide to apply the provisions of article 28.5 of the Convention and of paragraphs 1, 2, 5 and 6 above concerning incentives and penalties, from either the first year or second year after joining the target system.~~
- 10 (No change.)

Article 30-111

Quality of service-linked terminal dues remuneration applicable to mail flows to, from and between designated operators of countries in the transition system

The article was deleted.

Article 30-120

Self-declaration of bulky (E) and small packet (E) letter-post items

The following new article 30-120 was created:

Article 30-120

Self-declaration of bulky (E) and small packet (E) letter-post items

1 For the purposes of article 28bis.1.2.3 of the Convention, the midpoint shall be calculated as follows:

- 1.1 When the total number of zones is even, the midpoint shall be the mean of the middle two zones. When the total number of zones is odd, the midpoint shall be the median of the total number of zones.
- 1.2 When the domestic tariffs for any given zone are equivalent to those of at least one other zone in all weight increments, then all such zones with equivalent rates shall be treated as a single zone for the purpose of determining the midpoint rate.
- 1.3 Non-contiguous zones shall be excluded when they exclusively relate to origin and destination pairs between contiguous and non-contiguous zones. Zones used for origin and destination pairs in a contiguous portion of the territory shall not be excluded for purposes of determination of the midpoint rate.

2 For the purposes of article 28bis.1.2.3 of the Convention, the actual weighted average distance of a designated operator's inbound bulky (E) and small packet (E) letter-post items shall be calculated using sample estimates and/or electronic scanning census data. Supporting data shall be for the latest calendar year and from the receiving office(s) of exchange to the destination delivery points.

3 Other special situations with zonal tariffs shall be handled on a case-by-case basis.

4 Where zonal tariffs are used, the designated operator shall make an official declaration to the International Bureau of the method to be applied (the median approach or the weighted distance calculation) by 1 June of the year preceding the calendar year to which the terminal dues rates apply. If the designated operator chooses to apply the average distance methodology to zonal tariffs, the average distance with supporting data must be provided before or with the official declaration. In selecting the appropriate tariffs, the rules shall be applied in the following order: firstly, article 28bis.1.2.2 related to multiple packet tariffs; then, article 28bis.1.2.3 related to zonal tariffs; and, lastly, article 28bis.1.2.4 related to tariffs with additional service features.

Article 33-101

Formula for calculating the basic rate and calculation of air conveyance dues for closed mails

The following new paragraph 4bis was created:

4bis Pursuant to article 33.6 of the Convention, for flows to, from and between countries in the transitional system and where the terminal dues rates are determined on the basis of articles 30.5 or 30.6ter of the Convention, the maximum rate applicable in respect of the air conveyance shall correspond to a proportion of 31% (as specified in article 29.16 for the worldwide P and G format weight proportion) of the basic rate set in accordance with the provisions under paragraph 2 of this article unless the mail is sampled, in which case the proportion shall correspond to the weight of P and G format items in the average composition of one kilogramme of mail on the sampled flow. The maximum rate in respect of the air conveyance shall not be reduced in instances where the terminal dues remuneration is paid on the basis of article 30.6bis of the Convention.

Volume III Parcel Post Regulations

Article 27-201 Transit land rates

Paragraph 1 was amended as follows:

1 The rates applied to calculate the transit land rate in accordance with article 32.2 of the Convention are given below:

- 1.1 per kilogramme and per kilometre up to 1,000 kilometres: ~~0.326~~ 0.426 thousandths of an SDR for the year ~~2018~~ 2022, ~~0.353~~ 0.437 thousandths of an SDR for the year ~~2019~~ 2023, ~~0.384~~ 0.447 thousandths of an SDR for the year ~~2020~~ 2024 and ~~0.416~~ 0.458 thousandths of an SDR for the year ~~2024~~ 2025;
- 1.2 per kilogramme and per supplementary kilometre up to 3,000 kilometres: ~~0.247~~ 0.182 thousandths of an SDR for the year ~~2018~~ 2022, ~~0.203~~ 0.187 thousandths of an SDR for the year ~~2019~~ 2023, ~~0.190~~ 0.191 thousandths of an SDR for the year ~~2020~~ 2024 and ~~0.178~~ 0.196 thousandths of an SDR for the year ~~2024~~ 2025;
- 1.2bis per kilogramme and per supplementary kilometre up to 5,000 kilometres: ~~0.195~~ 0.159 thousandths of an SDR for the year ~~2018~~ 2022, ~~0.180~~ 0.163 thousandths of an SDR for the year ~~2019~~ 2023, ~~0.167~~ 0.167 thousandths of an SDR for the year ~~2020~~ 2024 and ~~0.155~~ 0.171 thousandths of an SDR for the year ~~2024~~ 2025;
- 1.3 per kilogramme and per supplementary kilometre: ~~0.137~~ 0.106 thousandths of an SDR for the year ~~2018~~ 2022, ~~0.124~~ 0.108 thousandths of an SDR for the year ~~2019~~ 2023, ~~0.113~~ 0.111 thousandths of an SDR for the year ~~2020~~ 2024 and ~~0.103~~ 0.113 thousandths of an SDR for the year ~~2024~~ 2025;
- 1.4 the rate based on distance being calculated per 100-kilometre distance step, based on the mid-value in each step.

Article 27-203 Sea rates

Paragraph 1 was amended as follows:

1 The rates applied to calculate the sea rate in accordance with article 32.3 of the Convention are given below:

- 1.1 per kilogramme and per nautical mile (1.852 km) up to 1,000 nautical miles: ~~0.123~~ 0.204 thousandths of an SDR for the year ~~2018~~ 2022, ~~0.145~~ 0.209 thousandths of an SDR for the year ~~2019~~ 2023, ~~0.170~~

0.214 thousandths of an SDR for the year ~~2020~~ 2024 and ~~0.199~~ 0.219 thousandths of an SDR for the year ~~2024~~ 2025;

- 1.2 per kilogramme and per supplementary nautical mile up to 2,000 nautical miles: ~~0.070~~ 0.113 thousandths of an SDR for the year ~~2018~~ 2022, ~~0.081~~ 0.115 thousandths of an SDR for the year ~~2019~~ 2023, ~~0.095~~ 0.118 thousandths of an SDR for the year ~~2020~~ 2024 and ~~0.140~~ 0.121 thousandths of an SDR for the year ~~2024~~ 2025;
- 1.3 per kilogramme and per supplementary nautical mile up to 4,000 nautical miles: ~~0.055~~ 0.073 thousandths of an SDR for the year ~~2018~~ 2022, ~~0.060~~ 0.075 thousandths of an SDR for the year ~~2019~~ 2023, ~~0.065~~ 0.076 thousandths of an SDR for the year ~~2020~~ 2024 and ~~0.074~~ 0.078 thousandths of an SDR for the year ~~2024~~ 2025;
- 1.4 per kilogramme and per supplementary nautical mile up to 10,000 nautical miles: ~~0.023~~ 0.008 thousandths of an SDR for the year ~~2018~~ 2022, ~~0.046~~ 0.008 thousandths of an SDR for the year ~~2019~~ 2023, ~~0.044~~ 0.009 thousandths of an SDR for the year ~~2020~~ 2021 and ~~0.008~~ 0.009 thousandths of an SDR for the year ~~2024~~ 2025;
- 1.5 per kilogramme and per supplementary nautical mile: ~~0.014 thousandths of an SDR for the year 2018~~, ~~0.009 thousandths of an SDR for the year 2019~~, ~~0.005 thousandths of an SDR for the year 2020~~ and 0.003 thousandths of an SDR for the ~~year 2024~~ years 2022 to 2025;
- 1.6 the rate based on distance being calculated per 100-nautical-mile distance step, based on the mid-value in each step.

Article 27-208

Rates and dues credited to other designated operators by the designated operator of origin of the mail

Paragraph 3 was amended as follows:

3 Charges for handling closed transit mails shall be borne by the designated operator of origin of the mails. The rate applied shall be ~~0.544~~ 0.571 SDR per kilogramme for ~~2018~~ 2022, ~~0.546~~ 0.585 per kilogramme for ~~2019~~ 2023, ~~0.552~~ 0.599 SDR per kilogramme for ~~2020~~ 2024 and ~~0.557~~ 0.613 SDR per kilogramme for ~~2024~~ 2025.